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1 Highlights

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- A coupled 1D-2D shallow water model for irregular geometries is proposed.
- The fully conservation property is guaranteed in the coupled model.
- Level-volume tables and left/right overflow levels are required.
- The coupled model is applied to a realistic configuration in the Tiber river.

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Conservative 1D-2D coupled numerical strategies applied to river flooding: the Tiber (Rome)

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The authors would like to dedicate this article to the late researcher F. Savi, Department 11

of Hydraulics, Transportation and Highways, La Sapienza University, Rome, Italy for his 12 13

fruitful contributions and support for this work

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Abstract 16

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Coupled 1D-2D numerical strategies are presented in this work for their 17 application to fast computation of large rivers flooding. Both 1D and 2D 18 models are built using explicit upwind finite volume schemes, able to deal 19 with wetting-drying fronts. The topography representation is described via 20 cross sections for the 1D model and with quadrilateral/triangular struc-21 tured/unstructured meshes for the 2D model. The coupling strategies, free of 22 hydraulic structures and tunning parameters, are firstly validated in a labora-23 tory test dealing with a level break and its flooding into a lateral plane. The 24 numerical results are compared with a fully 2D model as well as with mea-25 surements in some gauge points giving satisfactory results. The simulation 26 of a real flooding scenario in the Tiber river near the urban area of Rome 27 (Italy) is then performed. A lateral coupling configuration is provided, in 28 which the flood wave propagation in the main channel is simulated by means 29 of a 1D model and the inundation of the riverside is simulated by means of 30 a 2D model. On the other hand, a frontal coupling, in which the flood wave 31 is simulated in a 1D model first and then it is propagated into a 2D model, 32 is also performed. The flooding extension is almost well captured by all the 33 schemes presented, being the 1D-2D lateral configuration the most confident 34 with speed-ups of around 15x.

1D-2D coupled model, shallow water, conservation, river *Keywords:* flooding 37

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38 1. Introduction

Environmental hazards associated to flooding events near urban areas are 39 becoming a growing problem. Modern flood risk management and mitigation 40 plans incorporate the presence of numerical models that are able to assess 41 the response of the system and to help in the decision-making processes. 42 However, the advances in computers are not sufficient to run the simulations 43 as fast as desired and new models are demanded in order to cover all the 44 possible scenarios in large temporal and spatial scales. Hydraulic models can 45 be classified according to the number of dimensions in which they represent 46 the spatial domain as 1D, 2D or 3D. In particular, 3D approaches may not be 47 adequate given the available information, basically topography, local water 48 depth measurements and observed flooded area extension. For that reason, 49 1D and 2D models are preferred. The Shallow Water Equations (SWE) allow 50 to model the flooding phenomena. 1D SWE models are usually adopted 51 when simulating long rivers and open channel flows [8, 38, 20, 1, 36, 40, 35] 52 due to due to their computational efficiency, particularly for river network 53 systems. However, they are unable to approximate correctly the behaviour 54 in floodplains. On the contrary, 2D SWE models are valid when modelling 55 complex not canalized flows as floodplains [13, 7, 5] nevertheless the large 56 amount of computations required in real world applications make them very 57 time consuming and unaffordable in real time simulations. 58

To overcome these difficulties, coupled models can be adopted. Although 59 coupled 1D-3D models have been developed recently for simulating the inter-60 action between rivers and oceans [12], 1D-2D models are still widely popular. 61 The first simplified 1D-quasi 2D model dates to 1975 with the Mekong river 62 delta model [14], where a 1D model of looped channel flow, solving the SWE 63 with the Preissmann Scheme, was integrated with a storage cell algorithm 64 using the mass conservation equation to link domains. The storage cell ap-65 proach was later adopted also by Bladé et al. [4] on academic test cases. In 66 a similar way Kuiry et al [23] applied a simplified 1D- quasi 2D model to a 67 stretch of River Severn, solving 1D SWE in the river channel and using a 68 storage cell method to compute the overbank flow. The exchange between 1D 69 and 2D models is represented by the diffusive wave approximated equation. 70 Villanueva and Wright integrated a 1D model with two 2D models [39], the 71 first based on a storage cell approach and the second on a Riemann solver. These models are linked via spills between the main channel and the flood-73 plain with mass transfer. In [29] two strategies are reviewed to improve urban

flood forecasting. The first consists of a simplification of the mathematical 75 formulation using an efficient 2D raster storage cell approach coupled to a 76 1D channel model. The second one uses a sub-grid parametrization to rep-77 resent the effects of buildings and micro topography on flow pathways and 78 floodplain storage. The two strategies are evaluated through a numerical 79 experiment designed to reconstruct a flood in the city of Linton, England. 80 Castellarin et al. developed and tested the applicability of a quasi 2D hy-81 draulic model [9] to aid the identification of large scale flood risk mitigation 82 strategies. This approach considers the interaction between the channel and 83 the floodplains only by mass transfer, completely neglecting the momentum 84 exchange. 85

In most of the proposed 1D-2D models, the connection is formulated by 86 means of a lateral weir equation [38, 15] in which the exchanged volume 87 is governed by surface level differences [26]. The same idea was applied in 88 [21] to solve a level break. The authors coupled a full 1D model based 89 on SWE solved by Preissman method with a 2D model which solves the 90 diffusion wave equation by a finite difference method. The overflow through 91 the broken levee is treated as an internal boundary condition. Yin et al. 92 coupled a 1D solution of the full form of the SWE and a 2D floodplain 93 flow model to predict the Huangpu river flood and inundation extents [41]. 94 In [16] the hybrid methodology was also used on a 28km reach of Reno 95 River: flows through the lateral weir and simulated breaches were computed 96 by a 1D approach and then adopted as the inflow boundary condition for 97 a 2D model of the flood-prone area. Horritt and Bates [22] compare two 98 approaches to model floodplain inundation: a raster-based approach, with 99 channel flow being resolved separately from the floodplain using either a 100 kinematic or diffusive wave approximation, and a finite-element hydraulic 101 model aiming to solve the full 2D SWE. The approaches are tested on a 102 flood event on a short reach of the upper River Thames in the UK, and are 103 validated against inundation extent as determined from satellite synthetic 104 aperture radar (SAR) imagery. Masoero et al. [28] apply a similar approach 105 to compute the flow through the levee breach of the river Po. 106

Miglio et al. [30] applied an iterative procedure to solve the coupled 1D-2D problem after transforming the 2D variables into 1D integrated quantities and imposing continuity at the interfaces. This technique turns out to be a reliable strategy provided that a proper choice of the subdomain is performed, only for simple configurations (e.g. a straight channel or a river bifurcation). Yu and Lane [42] propose a loosely coupled approach where the 1D model

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is used to provide boundary conditions to the 2D model at the floodplain
interface prior to the initialisation of the 2D model. This study showed that,
if the exchange between river and floodplain is not represented correctly, it
is likely that flood inundation extent will not be modelled correctly. The
importance of boundary conditions for flood inundation predictions is also
emphasized.

The idea of a locally zoom model superimposed over an open channel network global model is elaborated in [19, 17]. The zoom model (2D SWE) describes additional physical phenomena which are not represented by the global model (1D SWE). The application of this model is only shown for toy test cases. The same model was further developed in [27] showing results for simple test cases.

Recent research has advanced in exploring 1D-2D coupling strategies to combine the best attributes of each model. In [18] a coupled 1D-2D model was presented, in which the momentum transfer between the main channel and the floodplain is taken into account. The model is first applied to simple test cases and then to a real world configuration. Also a coupling approach of a 1D and a 2D model working in subcritical conditions is found in [11].

A numerical method for coupling full 1D and 2D finite volume scheme 131 is presented by Morales-Hernández et al. [31]. The linking between the two 132 models is pursued by exchanging the necessary information to achieve a fully 133 conservative 1D-2D coupled model, considering the information that leaves 134 out each computational domain and its connection to the boundary condi-135 tions. In that preliminary work, the performance of the coupled model was 136 evaluated in academic test cases specifically designed to check the influence 137 of the flow regime at the coupling zone. 138

In the present work, a extension of [31] to realistic problems of interest 139 in engineering is explored. The topography is usually described by means of 140 cross sections in the main channel of a river and with DEM (Digital Eleva-141 tion Model) over the floodplain. These two sets of data do not always match 142 perfectly. Instead, they overlap in some regions or generate gaps in others 143 [10]. Our effort has been devoted to obtain the best topography representa-144 tion required by all the models. In particular, left and right bank limits have 145 to be identified in the cross sections to enable the connection with the 2D 146 floodplain when facing a lateral coupling. Moreover, a careful and detailed 147 surface level/water volume is required at the coupling zone to ensure the 148 success of the proposed coupling strategy. 149

¹⁵⁰ Both models are implemented using a single finite volume framework

based on an explicit first order upwind numerical scheme [6]. The way of
coupling the 1D and 2D hydrodynamical models can be frontal or lateral and
is presented according to [31]. The 2D computation can be performed over
structured/unstructured triangular and squared meshes and this possibility
will be illustrated.

The main objective of this work is to stress the capability of the proposed 156 1D-2D coupled model in flood applications. After this introduction, the 157 governing equations as well as the numerical scheme are detailed. The 1D-2D 158 coupled model is outlined, with special emphasis on the connection between 159 the models. Then, one laboratory experimental test case corresponding to 160 a levee break in a channel propagating into a lateral flood plain [3] has 161 been simulated and then the coupled model is applied to a real flood in 162 the Tiber River, Italy. Numerical results of the 1D-2D coupled model are 163 compared with those obtained with fully 2D schematisation as well as with 164 field measurements. 165

¹⁶⁶ 2. Governing equations

167 2.1. 1D shallow water equations

The 1D shallow water equations express the conservation of mass and momentum in the longitudinal direction and can be written in conservative form as follows:

$$\frac{\partial \mathbf{U}(x,t)}{\partial t} + \frac{d\mathbf{F}(x,\mathbf{U})}{dx} = \mathbf{H}(x,\mathbf{U}) \tag{1}$$

$$\mathbf{U} = \begin{pmatrix} A \\ Q \end{pmatrix}, \quad \mathbf{F} = \begin{pmatrix} Q \\ \frac{Q^2}{A} + gI_1 \end{pmatrix} \quad \mathbf{H} = \begin{pmatrix} 0 \\ g \left[I_2 + A \left(S_0 - S_f \right) \right] \end{pmatrix} \quad (2)$$

where Q is the discharge, A is the wetted area, g is the acceleration due to the gravity, S_0 accounts for the bed variations

$$S_0 = -\frac{\partial z_b}{\partial x} \tag{3}$$

and S_f represents the friction losses modelled by means of the empirical Manning-Strickler formula:

$$S_f = \frac{Q^2 n^2}{A^2 R^{4/3}} \tag{4}$$

being *n* the Manning's roughness coefficient. In this work, the hydraulic radius *R* has been chosen as $R = \frac{A}{B}$ where *B* is the top width surface. This fact allows to homogenize the meaning of the roughness coefficient *n* in both the 1D and the 2D models. I_1 and I_2 account for hydrostatic and longitudinal width variation pressure forces respectively:

$$I_1 = \int_{z_b}^{z_s} (h - \eta) \sigma(x, \eta) \, d\eta \qquad I_2 = \int_{z_b}^{z_s} (h - \eta) \frac{\partial \sigma(x, \eta)}{\partial x} \, d\eta \tag{5}$$

where z_s is the water level, z_b is the bed level and $\sigma(x, \eta)$ is the width of the cross section. It is feasible to derive the non-conservative system of equations from Eqs. (1), (2), considering the following remark [31, 8]:

$$\frac{d\mathbf{F}(x,\mathbf{U})}{dx} = \frac{\partial\mathbf{F}(x,\mathbf{U})}{\partial x}\bigg|_{\mathbf{U}=const} + \frac{\partial\mathbf{F}(x,\mathbf{U})}{\partial\mathbf{U}}\bigg|_{\substack{x=const}} \frac{\partial\mathbf{U}(x,t)}{\partial x}$$
(6)

¹⁸³ Therefore, 1D shallow water equations can be written accordingly:

$$\frac{\partial \mathbf{U}(x,t)}{\partial t} + \frac{\partial \mathbf{F}(x,\mathbf{U})}{\partial x} \bigg|_{x = const} = \mathbf{H}'(x,\mathbf{U})$$
(7)

where $\mathbf{H}'(x, \mathbf{U})$ represents the vector related with the sources, expressed in the non-conservative form:

$$\mathbf{H}'(x, \mathbf{U}) = \mathbf{H}(x, \mathbf{U}) - \frac{\partial \mathbf{F}(x, \mathbf{U})}{\partial x} \Big|_{\mathbf{U}=const}$$
(8)

186 2.2. 2D shallow water equations

The depth averaged mass and momentum conservation are expressed as
 follows for the 2D shallow water equations:

$$\frac{\partial \mathbf{U}}{\partial t} + \frac{\partial \mathbf{F}(\mathbf{U})}{\partial x} + \frac{\partial \mathbf{G}(\mathbf{U})}{\partial y} = \mathbf{H}(\mathbf{U})$$
(9)

189 where **U** are the conserved variables:

$$\mathbf{U} = (h, q_x, q_y)^T \tag{10}$$

190 and \mathbf{F}, \mathbf{G} are the fluxes of these variables:

$$\mathbf{F} = \left(q_x, \ \frac{q_x^2}{h} + \frac{1}{2}gh^2, \ \frac{q_xq_y}{h}\right)^T, \qquad \mathbf{G} = \left(q_y, \ \frac{q_xq_y}{h}, \ \frac{q_y^2}{h} + \frac{1}{2}gh^2\right)^T \tag{11}$$

being h the water depth and q_x and q_y the unit discharges in x and y components respectively. The vector of source terms in (9) includes the presence of bed and friction slopes

$$\mathbf{H} = (0, \ gh(S_{0x} - S_{fx}), \ gh(S_{0y} - S_{fy}))^T$$
(12)

where the bed variations of the bottom level z in x and y directions are

$$S_{0x} = -\frac{\partial z_b}{\partial x}, \qquad S_{0y} = -\frac{\partial z_b}{\partial y}$$
 (13)

and the friction slope is expressed, as in the 1D model, in terms of the Manning's roughness coefficient n:

$$S_{fx} = \frac{n^2 u \sqrt{u^2 + v^2}}{h^{4/3}}, \qquad S_{fy} = \frac{n^2 v \sqrt{u^2 + v^2}}{h^{4/3}}$$
(14)

¹⁹⁷ **3.** Numerical scheme

In this work, the focus is put on upwind first order finite volume schemes 198 for both 1D and 2D models. Although the common practice in CFD models 199 is to use high order (at least second order) schemes, in flood propagation 200 modelling first order schemes are sufficient [33, 34]. The main reason is 201 that in the majority of the flows concerning realistic applications, the source 202 terms dominate over the convective terms. Therefore, the use of second order 203 schemes is not always justified by the increase in computational costs as the 204 focus is put on the correct balance of fluxes and source terms. Both 1D and 205 2D systems of conservation laws can can be written compactly: 206

$$\frac{\partial \mathbf{U}}{\partial t} + \vec{\nabla} \mathbf{E} = \mathbf{S} \tag{15}$$

where $\mathbf{E}=\mathbf{F}$ and $\mathbf{S}=\mathbf{H}'$ in the 1D model and $\mathbf{E}=(\mathbf{F},\mathbf{G})$ and $\mathbf{S}=\mathbf{H}$ in the 2D case. In order to derive the finite volume scheme, this equation is integrated in a computational cell Ω :

$$\frac{\partial}{\partial t} \int_{\Omega} \mathbf{U} \, d\Omega + \int_{\Omega} (\vec{\nabla} \mathbf{E}) \, d\Omega = \int_{\Omega} \mathbf{S} \, d\Omega \Rightarrow \frac{\partial}{\partial t} \int_{\Omega} \mathbf{U} \, d\Omega + \oint_{\partial\Omega} \mathbf{E} \, \mathbf{n} \, dm = \int_{\Omega} \mathbf{S} \, d\Omega$$

where **n** denotes the outward normal vector to the cell. The Jacobian $\mathbf{J}_{\mathbf{n}}$ of the normal flux **E n** can be diagonalized in terms of the diagonal matrix $\Lambda_{\mathbf{n}}$, formed by its eigenvalues and **P**, containing its eigenvectors:

$$\mathbf{J_n} = \mathbf{P} \, \boldsymbol{\Lambda_n} \, \mathbf{P}^{-1}, \quad \boldsymbol{\Lambda_n} = \, \mathbf{P}^{-1} \mathbf{J_n} \mathbf{P} \tag{17}$$

Roe's linearization [37] is used to decouple the original hyperbolic system (15) and to define locally an approximate matrix $\tilde{\mathbf{J}}_{\mathbf{n}}$ at each interface k. Denoting i and j the neighbouring cells sharing this interface k, the differences in the vector of conserved variables U across k can be written in terms of the linearized eigenvectors basis $\tilde{\mathbf{e}}^m$:

$$\delta \mathbf{U}_k = \mathbf{U}_i - \mathbf{U}_j = \sum_m (\tilde{\alpha} \ \tilde{\mathbf{e}})_k^m \tag{18}$$

The vector of source terms is also projected onto the eigenvectors basis and discretized following the upwind philosophy:

$$\mathbf{S}_k = \sum_m (\tilde{\beta} \ \tilde{\mathbf{e}})_k^m \tag{19}$$

The explicit first order upwind numerical scheme for the 1D model can be expressed as follows [31, 8]:

$$\mathbf{U}_{i}^{n+1} = \mathbf{U}_{i}^{n} - \frac{\Delta t_{1D}}{\delta x} \left[\left(\sum_{m} \tilde{\lambda}^{+} \tilde{\gamma} \tilde{\mathbf{e}} \right)_{i-1/2}^{m} + \left(\sum_{m} \tilde{\lambda}^{-} \tilde{\gamma} \tilde{\mathbf{e}} \right)_{i+1/2}^{m} \right]^{n}$$
(20)

where m = 2, k = 2, i + 1/2 denotes the interface between cells i and i + 1(analogous with i - 1/2 and cells i - 1 and i), $\tilde{\gamma}_{i+1/2}^m = \left(\tilde{\alpha} - \frac{\tilde{\beta}\delta x}{\tilde{\lambda}}\right)_{i+1/2}^m$ and

 $\tilde{\lambda}_{i+1/2}^{\pm m} = \frac{1}{2} (\tilde{\lambda} \pm |\tilde{\lambda}|)_{i+1/2}^{m}$. The time step size is restricted by the Courant-Priedrich-Lewy condition:

$$\Delta t_{1D} = CFL \frac{\delta x}{\max_{m,i} |\tilde{\lambda}^m|_i} \qquad CFL \le 1$$
(21)

where CFL is the Courant number.

The formulation of the 2D first order upwind explicit scheme is completely equivalent to the 1D model [32, 6, 7]:

$$\mathbf{U}_{i}^{n+1} = \mathbf{U}_{i}^{n} - \frac{\Delta t_{2D}}{S_{i}} \sum_{k=1}^{NE} \sum_{m} \left[(\tilde{\lambda}^{-} \tilde{\gamma} \tilde{\mathbf{e}})_{k}^{m} l_{k} \right]^{n}$$
(22)

This expression shows that the conserved variables from time n to time n+1will be updated according to the contributions that arrive from the neighbouring walls to the cell i with area S_i . In the 2D model, m = 3, NE is the number of neighbouring cells (NE = 3 in the case of triangular grids, NE = 4 for squared grids) and l_k is the length of each interface. The time step is again limited by the CFL condition

$$\Delta t_{2D} = CFL \frac{\min(\chi_i, \chi_j)}{\max_m |\tilde{\lambda}^m|} \qquad CFL \le 1$$
(23)

where χ_i represents a characteristic distance of cell *i* and its *k* neighbouring edges, necessary when dealing with unstructured triangular grids:

$$\chi_i = \frac{S_i}{\max_{k=1,NE} l_k} \tag{24}$$

It is worth remarking that both 1D and 2D numerical schemes have proved to be conservative, well-balanced and positivity preserving when used separately [8, 32].

²⁴⁰ 4. 1D-2D coupled model

The 1D and 2D numerical schemes presented above are coupled by means of the fully conservation property [31]. For that purpose, it is feasible to define a new element of discretization in which the 1D and the 2D cells can interact: the *coupling zone*. It is constituted by one 1D cell and N_C 2D adjacent computational cells hence a good meshing procedure is required to ensure this fulfilment. Thereupon, two configurations appear naturally with respect to the 1D model: frontal and lateral. In particular, Figure 1 displays



Figure 1: Lateral coupling zone in a river: 3D view (left) and plant view (right)

a lateral coupling zone in a complex river with uneven bathymetry in a 3Dview (left) and its representation in plant (right).

The mentioned coupling zones are constructed in the pre-process and subsequently the set of initial conditions for each model is applied. Once the computation starts, and for each iteration, a suitable time step size is essential to handle the interaction between the models. As seen before, each model has its own time step size that comes from their corresponding stability conditions. Therefore, in order to homogenize it, a global Δt is selected as the minimum value of the two models:

$$\Delta t = \min(\Delta t_{1D}, \Delta t_{2D}) \tag{25}$$

Once the time step size is established, each model runs independently 257 according to (20) and (22) respectively, that is, without interacting between 258 them. The new conserved variables provided by each numerical scheme, de-259 noted from now on with a superscript star *, are used to link both models. 260 Depending on which strategy (only mass conservation or mass and momen-261 tum conservation) is imposed, the models will exchange the required infor-262 mation at each coupling zone and will update its own conserved variables 26 with the new states to move forward to the following time step. Figure 2 264 summarizes the flowchart followed in this work for the 1D-2D coupled model. 265



Figure 2: Flowchart of the 1D-2D coupled scheme

4.1. Exchanging the information between the models: Coupling strategies 266 In the frontal configuration, the 1D and the 2D models will always ex-267 change information provided they are wet. On the opposite, in the lateral 268 configuration, both models will obviously interact only when a flooding at 269 the coupling zone is registered, whether by the 1D model or by the 2D model. 270 Consequently, it is necessary to establish an 'overflow level' for each lateral 271 coupling zone, which will be split into two levels (left overflow and right over-272 flow). In this work, a simple linear interpolation between the extreme left 273

and right points of the each 1D cross section is established as the left and right overflow levels respectively. Four possibilities arise:

• No overflow. There is not interaction between the models (Figure 3, (a)).

- Left overflow. The models exchange information between the 1D cell and the 2D adjacent cells which are on the left side of the coupling zone (Figure 3, (b)).
- Right overflow. The models exchange information between the 1D cell and the 2D adjacent cells which are on the right side of the coupling zone (Figure 3, (c)).
- Left and right overflow. The models exchange information between the 1D cell and all the 2D adjacent cells involved at the coupling zone (Figure 3, (d)).

If an overflow occurs at the coupling zone, both models must interact and, 287 in this work, two approaches are proposed based on a fully mass conservation 288 or mass and momentum conservation respectively. The Only Mass Conser-289 vation (OMC) consists of imposing a joint water surface level at each the 290 coupling zone. In order to be able to adapt the variables provided by each 291 model, the total water volume at the coupling zone is evaluated. However, 292 it is not only a question of accounting for the amount of water present at 293 the corresponding time inside the coupling zone, but also the discharge inte-294 grated in time that crossed the boundary edges separating the two models. 295 Therefore, the total volume of the coupling zone, V_{CZ} holds: 296

$$V_{CZ} = A_{1D}^* \,\delta x + \sum_{i}^{N_C} h_i^* \,S_i + Q_{1D}^n \,n_{1D} \,\Delta t + \sum_{i}^{N_C} (\mathbf{F}_{1i}^n \cdot \mathbf{n}_i \,l_i) \,\Delta t \qquad (26)$$

where $\mathbf{F}_{1i}^n = (q_x, q_y)$, l_i the length of each boundary edge shared by the 1D and 2D domains at the corresponding coupling zone and \mathbf{n}_i the outward normal direction to the 2D cell (see Figure 1, right). It is worth clarifying that $n_{1D} = 0$ in the lateral coupling while $n_{1D} = \pm 1$ in the frontal coupling configuration. The meaning of equation (26) condense indeed the strict mass conservation property: the volume of water of the 1D model, $A_{1D}^* \delta x$, of the



Figure 3: Possibilities for the interaction between the 1D and the 2D models in a lateral configuration

³⁰³ 2D model, $\sum_{i} h_i^* S_i$, as well as the 1D-flow, $Q_{1D}^n n_{1D} \Delta t$, and the 2D-flow,

 $\sum (\mathbf{F}_{1i}^n \cdot \mathbf{n}_i) \Delta t$, that cross the edges between the two models.

The same water level surface, z_s^{n+1} is enforced at the coupling zone. Thus, it is necessary to distribute appropriately the volume V_{CZ} in both 1D and 2D models with the aid of level-volume tables, built in the pre-process for each coupling zone, that are able to deal with complex topography.

Let consider a coupling zone as in Figure 4 (a), composed by one 1D irregular cell, two coupled 2D cells called 1 and 2 on the left side and three coupled 2D cells called 3, 4 and 5 respectively on the right side. Figure 4 (b) shows a sliced sketch of the mentioned coupling zone, where the straight lines
represent the bottom or elevation of the corresponding 2D coupled cells.



Figure 4: Coupling zone (a) and sliced sketch (b)

First of all, it is necessary to construct a vector of N levels z_{s_k} , where Nrepresents the sum of the 1D points defining the irregular cross sections for the 1D model as well as the elevation of the 2D coupled cells. Then, this vector of levels is sorted from lower to higher and a table with the information included in (27)

$$z_{s_k} \quad b_k \quad S_k \quad dB z_k \quad V_k \tag{27}$$

must be filled. As displayed in Figure 4, k indicates the vector index, z_s is the surface level, b is the corresponding width in the 1D model, S includes the accumulated 2D cell sizes, dBz is the corresponding side slopes and Vis the water volume. While the construction of z_{s_k} , b_k , S_k and dBz_k is a straightforward geometric procedure, the volume is achieved following the rule:

$$V_{k+1} = V_k + C_k (z_{s_{k+1}} - z_{s_k}) + \frac{1}{2} dB z_k \delta x \ (z_{s_{k+1}} - z_{s_k})^2 \tag{28}$$

being δx the 1D cell size and $C = b\delta x + S$. During the computation, a water volume V_{CZ} is achieved from (26) at the coupling zone, that will be associated to a correct level z_s^{n+1} . In order to do this assignment, the second order (in z_s^{n+1}) equation (29) should be solved:

$$V_{CZ} = V_j + C_j (z_s^{n+1} - z_{s_j}) + \frac{1}{2} dB z_j \delta x \ (z_s^{n+1} - z_{s_j})^2$$
(29)

where j is the index corresponding to V_j , immediately below V_{CZ} in the table (27). Finally, the unique solution for z_s^{n+1} satisfying

$$z_{s_j} \le z_s^{n+1} \le z_{s_{j+1}} \tag{30}$$

is imposed as the desired water surface level. Finally, the models, supplied
with this common water level surface, update its own conserved variables as
follows:

$$A_{1D}^{n+1} = A_{1D}^{n+1}(z_s^{n+1}) \qquad h_i^{n+1} = z_s^{n+1} - z_{b_i}$$
(31)

The second strategy is called Mass and Momentum Conservation (MMC) and can be considered as an extension of the OMC strategy, in which not only a common level surface at the coupling zone is imposed but also the velocities in x and y direction. The 1D discharge, Q_{1D} , has to be converted into a vector with a flow angle θ

$$Q_{1D} \rightsquigarrow (Q_{x1D}, Q_{y1D}) = (Q_{1D}\cos\theta, Q_{1D}\sin\theta)$$
(32)

which allow to bidimensionalize the 1D discharge into the 2D space. For 339 instance, in a channel completely oriented to the x-direction (assume the 340 flow goes from left to right), $\theta = 0$ while in a channel oriented to the y-341 direction (the flow goes from upper to lower), $\theta = -\pi/2$. In a complex 342 river, the thalweg or centerline of the river has to be computed in order to 343 sample the normal direction along this thalweg. Therefore, different $\theta's$ will 344 be computed for different coupling zones (depending of the river orientation 345 in the 2D space). 346

³⁴⁷ Consequently, the idea of a strict conservation (previously explained for the ³⁴⁸ water volume) can be applied to the momentum in the x direction:

$$M_{x} = Q_{x1D}^{*} \ \delta x + \sum_{i}^{N_{C}} (q_{x})_{i}^{*} \ S_{i} + E_{x}^{n} \ n_{1D} \ \Delta t + \sum_{i}^{N_{C}} (\mathbf{F}_{2i}^{n} \cdot \mathbf{n}_{i} \ l_{i}) \ \Delta t$$
(33)

 $_{349}$ and in the *y*-direction:

$$M_{y} = Q_{y_{1D}}^{*} \,\delta x + \sum_{i}^{N_{C}} (q_{x})_{i}^{*} \,S_{i} + E_{y}^{n} \,n_{1D} \,\Delta t + \sum_{i}^{N_{C}} (\mathbf{F}_{3i}^{n} \cdot \mathbf{n}_{i} \,l_{i}) \,\Delta t \qquad (34)$$

350 where

$$\mathbf{E}_{1D}^{n} = (E_x, E_y)_{1D}^{n} = \left(\frac{(Q_x)^2}{A} + gI_1, \frac{(Q_y)^2}{A} + gI_1\right)_{1D}^{n}$$
$$\mathbf{F}_{2i}^{n} = \left(\frac{q_x^2}{h} + \frac{1}{2}gh^2, \frac{q_xq_y}{h}\right)_{i}^{n} \qquad \mathbf{F}_{3i}^{n} = \left(\frac{q_xq_y}{h}, \frac{q_y^2}{h} + \frac{1}{2}gh^2\right)_{i}^{n} \tag{35}$$

It is important to notice again that $n_{1D} = 0$ in the pure lateral coupling and $n_{1D} = \pm 1$ in the frontal configuration. As the water volume V_{CZ} has been previously computed, average velocities \overline{u} and \overline{v} in x and y direction can be deduced from:

$$V_{CZ} \ \overline{u} = M_x \qquad V_{CZ} \ \overline{v} = M_y \tag{36}$$

³⁵⁵ With this information, the conserved variables are updated:

 \checkmark

$$(q_x)_i^{n+1} = h_i^{n+1} \overline{u} \qquad (q_y)_i^{n+1} = h_i^{n+1} \overline{v}$$
 (37)

$$Q_{1D}^{n+1} = A_{1D}^{n+1} \left(\overline{u} \cos \theta + \overline{v} \sin \theta \right) \tag{38}$$

The imposition of only mass conservation (OMC) and mass and momen-356 tum conservation (MMC) is not a simple task and, in particular, boundary 357 conditions play an important role. As an illustration, the 2D domain always 358 ends up at each coupling zone in a lateral coupling configuration. In fact, the 359 imposition of the OMC or MMC strategy is certainly related to the number 360 of boundary conditions needed for each model when facing up to subcritical 361 or supercritical flow [31]. Therefore, the Froude number is computed locally 362 at each coupling zone and for each model: 363

$$Fr_{1D} = \left(\frac{Q}{A\sqrt{g\frac{A}{B}}}\right)_{1D} \qquad \overline{Fr_{2D}} = \frac{1}{N_C}\sum_{i}^{N_C}Fr_i \tag{39}$$

If the flow is supercritical, i.e., $Fr_{1D} > 1.0$ or $Fr_{2D} > 1.0$, MMC is enforced. Otherwise, the OMC technique is used. Therefore, the *MMC* strategy is designed so that it reduces automatically to the *OMC* when less boundary conditions have to be imposed at the coupling zone. Indeed, the *MMC* strategy seems a priori to be more sophisticated than *OMC* due to the fact that it

is being exchanging information not only related to the mass in both 1D and 369 2D models but also related to the momentum. However, when dealing with 370 subcritical flow at the coupling zone, only one variable (the common water 371 level surface, OMC strategy) has to be imposed. Otherwise, if enforcing the 372 MMC technique, more information than necessary is provided so that the 373 system is "overdetermined" in a certain way and may produce non-physical 374 results [31]. In conclusion, the corresponding strategy (OMC or MMC) is 375 dynamically and locally chosen according to the discrete flow regime at each 376 coupling zone. 377

5. Laboratory test case: Levee breaking in a channel with a flood plain

A test case measured in a laboratory is presented for the validation of 380 the numerical strategies proposed in the above sections. It consists of a levee 381 breaking test in which the inundation area is initially dry. This experiment 382 was performed in the Parma University laboratory [3]. The experimental 383 facility consisted of a laboratory flume (10 m long and 0.30 m wide) with a 384 lateral opening of width b = 0.28 m in one of the side walls. A lateral plane 385 was attached to the flume in order to represent a inundation area. The entire 386 set-up (flume and lateral plane) was placed at slopes equal to 0.1% in the x 387 direction and 0.0% in the γ direction respectively. 388

The Manning's coefficient $n = 0.0105 \ m/s^{1/3}$ suggested by [3] in the ex-389 periments was used for the bottom and the side walls. In particular, all the 390 models were run using the same roughness coefficient which was not cali-391 brated in this case. The initial condition is steady flow of 0.01 m^3/s all 392 over the channel and the boundary conditions consist of a constant inflow 393 discharge of 0.01 m^3/s at the inlet and critical flow at the outlet boundary. 394 Water depths along the y direction were measured inside the flume just up-395 stream the breach section by ultrasonic distance meters. The position of the 396 probes as well as the topography of the test case are illustrated in Figure 5. 397 In this work, numerical results obtained with two different strategies of 398 coupling (frontal and lateral) are presented together with the numerical re-399 400 sults obtained with a 2D model. The fully 2D mesh used for the computation is structured with 17390, 0.02×0.02 m squared elements. The lateral config-401 uration is composed by the channel, represented by 200 cross sections spaced 402 each 0.05 m and the flood plain, described by 9890 squared elements (0.02 \times 403 0.02 m). In addition, a 'double' frontal coupling configuration is proposed: 404



Figure 5: Laboratory test case. Full set-up (upper) and detail of the squared mesh and the location of the probes (lower)

the channel is characterized by the 1D model for the first 5.28 m and for the last 3.94 m and the rest of the domain is modelled by a structured 2D grid of 0.02×0.02 m size (10475 squared cells). Figure 6 shows the 1D-2D lateral and frontal configurations respectively.

The evolution in time in terms of water depth series is registered at each probe. The experimental observations are contrasted against the numerical results achieved by the fully 2D model, the 1D-2D lateral and frontal configuration in Figure 7.

The lateral coupling approach is not able to reproduce the experimental 413 elevations far from the gate opening, since in the position of the water gages 414 the flume is modelled by 1D sections in which the water depth is assumed to 415 be constant. Concerning the frontal configuration, the results are very similar 416 to those obtained by the fully 2D model. As the only provided measurements 417 are placed inside the main channel, the behaviour of the schemes inside the 418 floodplain can not be compared against experimental data. However, it is 419 feasible to compare the numerical results achieved by the 1D-2D coupled (in 420 both frontal and lateral configurations) against the same numerical results 421 obtained by the complete 2D model. With this purpose, the evolution of time 422 of water depth at points P1P = (5.01, 1.59), P2P = (6.75, 1.59), P3P =423



Figure 6: Laboratory test case: 1D-2D lateral (upper) and frontal (lower) configurations



Figure 7: Laboratory test case: Comparison of numerical results and experimental measurements at probes P1 (upper left) to P9 (lower right)





Figure 8: Laboratory test case: Comparison of numerical results and experimental measurements at probes P1P (upper left) to P4P (lower right)

Although the results are slightly underestimated by the 1D-2D coupled 427 model, the overall behaviour is captured and the numerical solutions are 428 comparable to that obtained by the 2D model. Regarding the snapshot, the 429 frontal configuration seems to provide better results with respect to the 2D 430 approach since the channel profile is well approximated. However, if focusing 431 in the floodplain results, even the lateral configuration provides accurate and 432 acceptable results. Therefore, it can be concluded that frontal configuration 433 should be used if the interest is put on the variation across the main channel 434 (in a junction, for example). Otherwise, the overall behaviour far from the 435 detail of the main river or channel is captured by both 1D-2D models. 436

The computational time consumed by each model is described in Table A38 1. Although they are in the order of seconds and the CPU time is very



Figure 9: Laboratory test case: Snapshot at time t = 11.2s for the 1D-2D lateral, 1D-2D frontal and 2D models (from upper to lower respectively)

Numerical model	CPU time (s)	Speed-up	_
1D-2D frontal	52 06 e	2 029	
	52.00 3	2.023	
1D-2D lateral	51.80 s	2.039	_
2D	$105.61 \ s$	-	R

Table 1: Laboratory test case: CPU time and speed up for each model

influenced by the preprocessing and the writing data process, both 1D-2D
coupled configurations are able to halve the computational time with respect

441 to the fully 2D model.

442 6. Application to the Tiber river flood simulation

443 6.1. Topography definition

Developments in GIS software and in computer processing allow the use of high-resolution DEMs in hydraulic simulations. Hydraulic variables like flow depth and velocity components can be highly variable over small spatial scales and, as such, are extremely sensitive to terrain parametrisation in topography-based simulation models. Small errors in specifying bed elevation may have a large impact on the prediction of the flooding area.

The data available for this study were a digitized cartography (scale 450 1:10000) covering the bottom of the valley together with aerial photographs. 451 Moreover a 2 m x 2 m resolution digital elevation model (DEM) was also 452 available together with 600 cross sections coming from land surveys. The 453 topography used by the authors was obtained integrating the DEM with the 454 land surveyed cross sections (10 for the considered reach displaced in or-455 ange in Figure 10) in order to describe correctly the floodplain and the main 456 channel. 457

The geometric description of the river channel and surrounding topography was essential for creating a computational mesh consistent with the surface of the study area. It can significantly affect the numerical results. In this case, first, the banklines were delineated to separate the river from the flooding area. Then, the 2D domain was built in order to guarantee the best match between land surveyed cross section in the river and DEM extracted



Figure 10: Aerial photograph of Ponzano area with original and interpolated 1D cross sections

cross sections. As an example, Figure 11 shows a particular 1D cross section
coming from the land survey and the corresponding one extracted by the
modified DEM. Since the comparison is reasonable, the modified DEM was
used in this work.

468 6.2. Tiber river flood

Tiber river is one of the most important Italian rivers: the catchment area 469 at Rome is about 17000 km^2 . It is 406 km long, flowing from the Apennine 470 Mountains to the Tyrrhenian Sea. Its mean discharge is $267 m^3/s$ while the 471 discharge for a return period of 200 years is 3200 m^3/s . For this study, a 472 \times 2 km reach is considered, which will be referred to in what follows 6 473 as the Ponzano reach. The flood here simulated occurred between the 27th 474 of November and the 1st of December 2005. Its estimated return period is 475 50 years. The maximum discharge in the Ponzano reach was about 1440 476 m^3/s and the surrounding area was almost completely flooded. As a result, 477 several measurements were registered at different sections. Figure 12 shows <u>47</u>8 the inflow hydrograph (left) imposed as upstream boundary condition. The 479 recorded evolution in time of the water level surface as well as the discharge 480 at the outlet section were used to build the downstream boundary condition 481 in the form of a gauging curve (see Figure 12, right). 482



Figure 11: Comparison between the land surveyed and the DEM reconstructed extraction for section 5 in Ponzano reach







Figure 13: Ponzano reach: Topography and location of section and probes (left) and Manning roughness map (right)

The time evolution of the water surface elevation was measured in two sections in the Ponzano reach (S1 and S2), located inside the main channel. Besides the observed data, five probes were selected in order to compare all the proposed numerical models. The location of sections and probes as well as the topography of the Ponzano reach are shown in Figure 13 (left).

In order to define homogeneous roughness areas, according to [2], two zones were defined: one for the main river with $n = 0.035 \ m/s^{1/3}$ and the other one for the floodplain area with $n = 0.0446 \ m/s^{1/3}$ (Figure 13, right). No further calibration of the Manning coefficient value was performed as the focus of the present work is put on the relative performance of the models.

For the simulation of this event, two numerical models are used: a fully 2D numerical model and the suggested coupled 1D-2D model with a frontal and a lateral configuration. A fully two dimensional non structured domain made of 15985 elements was first developed. As this domain describes poorly the main channel (even with only 2 elements), a refined mesh only in the main channel is used as reference, made of 26895 elements. The 2D coarse mesh was then used to get the coupled lateral and frontal domains.

500 7. Discussion of the results

501 7.1. Local measurements and flooded area

The recorded water elevations in sections S1 and S2 are compared, in Figure 14, with the numerical results on the fully coarse and refined 2D domain, and using the frontal and lateral coupling.

The numerical models can be also compared by using the information of the evolution in time of the water surface elevation registered on the probes P1-P5 (see Figure 15).



Figure 14: Ponzano reach: Comparison between measured and computed data for sections S1 and S2 $\,$





The 1D-2D frontal model and the 2D coarse simulation generate almost 508 the same results due to the fact that the 1D domain inside the frontal cou-509 pled configurations only covers a very small surface. Although the maximum 510 peaks in water surface elevations are fairly captured, the peak times are not 511 well reproduced by these models. In fact, the flooding wave comes earlier 512 than the 2D fine and the 1D-2D coupled lateral configuration. This behaviour 513 is also observed at probes P1-P5 if compared to the 2D fine model. Addi-514 tionally, the water surface elevations are always overestimated. This fact is 515 possibly due to the bad representation of the river bathymetry since a small 516 number of elements discretize the main channel in both the 1D-2D frontal 517 and the 2D coarse models. 518

The numerical results achieved by the fully 2D fine mesh are more accurate, although the water peaks in section 2 are not well reproduced. All models are unable to simulate well the observed data for section 2 so that it could be an effect of the downstream boundary condition, the Manning roughness coefficient (assumed constant along the main river) or even the bad representation of the bathymetry near this zone.

The 1D-2D coupled lateral model achieves reasonable results, compared 525 to those obtained by the fully 2D fine mesh. It is worth emphasizing that 526 the lateral coupling represents the main channel with 1D cross sections hence 527 providing more reliable results than the 2D model if not appropriately dis-528 cretized. In terms of timing both the 1D-2D lateral model and the 2D fine 529 mesh model predict similar results at sections S1 and at all the observa-530 tions points P1-P5. However, the water depth is sometimes underestimated 531 (mainly probes P3 and P4). 532

This analysis is based on local measurements (sections and probes) along the domain. However, the differences can be estimated in terms of inundation maps generated by each numerical model. As an example, three snapshots during the flood at times t=33h, t=80h and t=113h (final state) are plotted at Figures 16, 17 and 18.

As can be observed, the flooding extension is almost well captured by all the schemes presented. In particular, the 1D-2D lateral configuration is able to reproduce appropriately the flooded area achieved by the reference solution, being partially overestimated with the fully 2D coarse mesh. In order to corroborate this hypothesis, the evolution in time of the flooded area (in km^2) computed by each model is plotted in Figure 19. Although the lateral coupling underestimates the flooding area during the peak discharge,



Figure 16: Ponzano reach: Flooded area at time t=33 h. computed by the 2D coarse (upper left), 1D-2D frontal (upper right), 1D-2D lateral (lower left) and 2D fine model (lower right)

it is able to reproduce better the behaviour achieved by the reference solutionnot only in terms of magnitude but also in terms of peak time accuracy.

547 7.2. Computational time

Attending to the cross comparisons displayed above, the use of a cou-548 pled 1D-2D numerical model has proved to be accurate with respect to the 549 2D numerical model, used as reference in absence of experimental data. Al-550 though the triangle cell areas far from the main river are the same and the 551 uncertainties related to the discretization in the floodplains are removed, the 552 2D model requires a fine representation of the channel bathymetry to ensure 553 correct results. Considering that the time step is governed ultimately by the 554 cell sizes of the domain, the use of a 1D-2D coupled model should reduce 555 considerably the computational time. In fact, not only the time step size is 556 enlarged when using a 1D-2D lateral coupled model, but also the cells dis-557 cretizing the main river domain are eliminated of the computation, achieving 558 a double gain. 559



Figure 17: Ponzano reach: Flooded area at time t=80 h. computed by the 2D coarse (upper left), 1D-2D frontal (upper right), 1D-2D lateral (lower left) and 2D fine model (lower right)

Table 2 shows the CPU time consumed by each model and the gain in terms of speed-up's of the 1D-2D coupled model with respect to the 2D refined model.

Numerical model		CPU time (s)	Speed-up
	1D-2D frontal	$2000.89 \ s$	10.97
	1D-2D lateral	$1441.75 \ s$	15.22
	2D fine	$21952.55 \ s$	-

Table 2: Tiber river test case: CPU time and speed up for each model

A significant reduction in the computational time is observed when using a 1D-2D coupled model. In particular, the lateral configuration, which



Figure 18: Ponzano reach: Flooded area at time t=113 h. computed by the 2D coarse (upper left), 1D-2D frontal (upper right), 1D-2D lateral (lower left) and 2D fine model (lower right)

achieved better results is able to carry out the simulation 15 times faster 565 than the fully 2D model. A similar gain could be obtained by parallelizing 566 the 2D code on distributed memory architectures using MPI, on the most 567 common shared memory processors by means of OpenMP [24] or even using 568 the more recent paradigms such as GPU computing [25]. However, the pro-569 posed 1D-2D model can also be parallelized adopting the same techniques, 570 and the speed-up's should scale accordingly in the 1D-2D model. It is worth 571 noting that all the simulations were carried out in a Intel Core 2 Duo Quad 572 Core Q9550 2.83 GHz. 573

574 8. Conclusions

A 1D-2D coupled model has been presented for predicting flood inundation in river basins. Both 1D and 2D models are implemented in a finite volume framework, using an explicit first order upwind numerical scheme based on Roe's linearization. The coupling zone has been generalised to complex problems that may be encountered in realistic applications. This



Figure 19: Ponzano reach. Time evolution of the flooded area computed by each model

requirement implies a suitable meshing procedure, able to achieve a perfect 580 match between the 1D and the 2D domains that are geometrically coupled. 581 The models are dynamically linked using exclusively information from 582 the computational cells, without any extra condition. In order to couple 583 the models, two strategies are extended this work, based on conserving mass 584 (OMC) or mass and momentum (MMC) respectively. The computation of 585 the fully mass and momentum conservation is carefully carried out by means 586 of the information that is exchanged through the computational edges or 587 interfaces that separates both models. Therefore, the choice of the local ad-588 equate strategy will be closely related to the number of boundary conditions 589 to be imposed and the flow regime that takes place at each coupling zone. 590

In irregular geometries, it is necessary to define exactly the location and the moment of overflow occurrence that triggers the connection between the models. Consequently, left and right overflow levels have to be constructed for each coupling zone. On the other hand, the correct distribution of the water volumes between the models is performed by means of hydraulic tables that accounts for the variability not only in the bathymethy of the 1D cross sections but also in the elevations of the 2D cells. This fact will ensure a perfect well-balancing for the 1D-2D coupled model.

⁵⁹⁹ The model has been tested for a levee breaking laboratory experiment and

then applied to the Tiber river near the urban area of Rome (Italy). Different meshes have been used for the discretization of the domain: structured and unstructured ones. Numerical results have been compared with a fully 2D model as well as with experimental and field measurements when possible.

In the laboratory test case, when representing the domain with a 1D model (inside a 1D-2D lateral configuration), the two dimensional features within the channel are not well captured. However, when regarding the propagation into the lateral floodplain, the numerical results are satisfactorily captured. Besides, the 2D model represents correctly such features. Also the 1D-2D frontal coupling gives very similar results in comparison to the 2D simulation.

The simulation of a flooding event in a river reach of the Tiber river has 611 been next performed. Field measurements were available at different loca-612 tions and the results achieved by the coupled model (frontal and lateral) are 613 compared to those obtained by a fully 2D model (coarse and fine meshes). 614 Also the flooding extension is evaluated using inundation maps. The frontal 615 1D-2D model achieves similar results to the fully 2D model. However, the 616 restriction of using a time step governed by the 2D cells inside the river to-617 gether with the difficulty of choosing where the 1D model ends up, makes 618 this option not as attractive as the 1D-2D coupled model with lateral con-619 figuration. 620

In conclusion, the frontal coupling offers the possibility to model in 2D 621 a river reach or a channel. This is useful if detailed information across the 622 channel/river section is required (i.e., when modelling a junction) and a very 623 fine mesh is used inside the channel/river. In the case of real world appli-624 cations, the 1D-2D lateral configuration becomes a good option. First, the 625 correct flooding propagation in the river bed is ensured by means of 1D cross 626 sections that prevent the use of a 2D fine discretization. On the other hand, 627 the flow developed inside the adjacent floodplain areas is well captured in the 628 2D domain out of the river channel. Furthermore, a reduction in the com-629 putational time with respect to the fully 2D model is confirmed, achieving 630 speed-ups of around 15x. 631

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